

Attorney's Docket No.: 05770-138001 / AMSC-530

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : David M. Buczek et al.  
Patent No. : 6,784,362  
Issue Date : August 31, 2004  
Serial No. : 09/658,838  
Filed : September 8, 2000  
Title : POLYMER ENCAPSULATED CERAMIC SUPERCONDUCTORS

Art Unit : 2827  
Examiner : Kamand Cuneo

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AUG 12 2005

Attn.: Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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TRANSMITTAL OF REQUEST FOR CERTIFICATE OF CORRECTION

Applicant hereby requests that a certificate of correction be issued for the above patent in accordance with the attached request.

One or more of the errors sought to be corrected were made by applicant, and a check for \$100 is enclosed to cover the required fee of 37 CFR §1.20(a).

Please apply any charges or credits to Deposit Account No. 06-1050.


Respectfully submitted,

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AUG 16 2005

OFFICE OF PETITIONS

Date: July 13, 2005

  
Sean P. Daley  
Reg. No. 40,978

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

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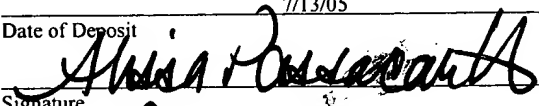
CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

07/18/2005 DEMMANU1 00000047 6784362

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Date of Deposit 7/13/05  
  
Signature APASSACANTILLI  
Typed or Printed Name of Person Signing Certificate

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,784,362  
DATED : AUGUST 31, 2004  
INVENTOR(S) : DAVID M. BUCZEK AND MARCO NASSI

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On Cover page, section [73], add "Pirelli Cavi E Sistemi S.p.A., Milano, Italy" after  
"American Superconductor Corporation, Westborough, MA (US)"

MAILING ADDRESS OF SENDER:

Sean P. Daley  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110

PATENT No. 6,784,362

No. of add'l copies

number



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**PETITION UNDER 37 C.F.R. § 1.183**

Applicants request that 37 C.F.R. § 3.81 (a) be waived to permit the correct names of the assignees to be provided after issuance of the above-referenced patent. The above-referenced patent should list American Superconductor Corporation, Westborough, MA, and Pirelli Cavi E Sistemi S.p.A., Milano, Italy as the assignees.

Item 3(B) of the Issue Fee Transmittal (PTOL-85b) inadvertently listed the assignee as "American Superconductor Corporation" instead of "American Superconductor Corporation and Pirelli Cavi E Sistemi S.p.A.".

Enclosed is a copy of the notice of recordation of assignment document, which was recorded January 4, 2002 at Reel/Frame 012424/0309. Also enclosed is a check for \$130.00 as set forth in 37 CFR § 1.17(h).

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

07/18/2005 DEMMANU1 00000047 6784362

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7/13/05

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate

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**APASSACANTILLI**

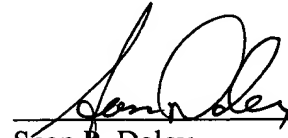
Applicant : David M. Buczek et al.  
Patent No. : 6,784,362  
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Filed : September 8, 2000  
Page : 2 of 2

Attorney's Docket No.: 05770-138001 / AMSC-530

Please apply other any charges or credits to Deposit Account No. 06-1050, referencing  
Attorney Docket 05770-138001.

Respectfully submitted,

Date: July 13, 2005



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Reg. No. 40,978

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Chief Information Officer  
Washington, DC 20231  
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FRANK R. OCCHIUTI  
225 FRANKLIN ST.  
BOSTON, MA 02110-2804

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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

JUL 22 2005

OFFICE OF PETITIONS

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/04/2002

REEL/FRAME: 012424/0309  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
NASSI, MARCO

DOC DATE: 06/26/2001

ASSIGNOR:  
BUCZEK, DAVID M.

DOC DATE: 07/09/2001

ASSIGNEE:  
AMERICAN SUPERCONDUCTOR  
CORPORATION  
TWO TECHNOLOGY DRIVE  
WESTBOROUGH, MASSACHUSETTS

01581-1727

ASSIGNEE:  
PIRELLI CAVI SISTEMI S.P.A.  
VIALE SIRCA, 222  
20126 MILANO, ITALY

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MAR 06 2002

FISH & RICHARDSON, P.C.  
BOSTON OFFICE

SERIAL NUMBER: 09658838  
PATENT NUMBER:

FILING DATE: 09/08/2000  
ISSUE DATE:

NO DOCUMENTING REQUIRED

Reviewed by Practice Systems

Initial PEC On

012424/0309 PAGE 2

DOROTHY RILEY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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AUG 16 2005  
OFFICE OF PETITIONS

Laura Murphy  
Typed Name of Person Signing Certificate

ASSIGNMENT

For valuable consideration, we, DAVID M. BUCZEK of 81 Tisdale Road, Dover, MA 02030, and MARCO NASSI of Via Cibrario, 36bis, 10144 Torino, ITALY, hereby assign to as tenants in common: AMERICAN SUPERCONDUCTOR CORPORATION, a Delaware corporation having a place of business at:

Two Technology Drive  
Westborough, MA 01581-1727; and

PIRELLI CAVI E SISTEMI S.p.A., having a place of business at:

Viale Sirca, 222  
20126 Milano  
ITALY; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled POLYMER ENCAPSULATED CERAMIC SUPERCONDUCTORS, filed September 8, 2000, and assigned U.S. Serial Number 09/658,838; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

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IN WITNESS WHEREOF, I hereto set my hand and seal at Westborough, Massachusetts,  
this 26 day of June, 2001.

David M. Buczek L.S.  
DAVID M. BUCZEK

State of MASSACHUSETTS :

: ss.

County of WORCESTER :

Before me this 26<sup>th</sup> day of June, 2001, personally appeared  
DAVID M. BUCZEK known to me to be the person whose name is subscribed to the foregoing  
Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

Tracy A. Connolly  
Notary Public

My Commission Expires:

TRACY A. CONNOLLY  
NOTARY PUBLIC  
My Commission Expires Oct 8, 2004

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at MILAN, ITALY  
this 9 day of JULY, 2001.

Marco Nassi L.S.  
MARCO NASSI

Witnesses:

[Signature]  
[Signature]

BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE

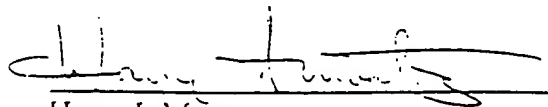
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LIMITED RECOGNITION UNDER 37 CFR § 10.9(b) OFFICE OF PETITIONS

Marc M. Wefers is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Fish & Richardson P.C., to prepare and prosecute patent applications wherein the patent applicant is the client of Fish & Richardson P.C. and the attorney or agent of record in the applications is a registered practitioner who is a member of Fish & Richardson P.C. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Marc M. Wefers ceases to lawfully reside in the United States, (ii) Marc M. Wefers' employment with Fish & Richardson P.C. ceases or is terminated, or (iii) Marc M. Wefers ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 22, 2001



Harry I. Moatz

Director of Enrollment and Discipline